#### **Estimation of Moving Target Parameters using Compressive Sensing Methods**

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2<sup>nd</sup> International Workshop on Compressive Sensing applied to Radar Bonn, 18.09.2013





## Outline

- Introduction and motivation
- Simulated geometry and signal model
- Formulation of the reconstruction problem
- Solution with Orthogonal Matching Pursuit
- Solution with SIMPLEX algorithm
- Solution with nonlinear Convex Optimization
- Summary



#### Introduction

- Many potential targets of radar based sensors contain a large number of independently moving elements with parameters (x<sub>1</sub>, y<sub>1</sub>, v<sub>x1</sub>, v<sub>y1</sub>, ...)
- Conventional image reconstruction methods fail, as no unambiguous solution exists
- <u>Observation</u>: the most scenes can be described as sparse (the number of strong scatterers is relatively low)
- → Collected radar data contain much less information than is suggested by its bandwidth
- Possible solution: Compressive Sensing







#### **Simulated Geometry**

- Generic 2-dimensional scene with N<sub>s</sub> radar sensors located around the target
- Scene contains N<sub>p</sub> moving point scatterers
- Each sensor transmits a series of N<sub>t</sub> pulses with N<sub>f</sub> discrete frequency steps
- Set of measurement data contains  $M = N_s \cdot N_f \cdot N_t$  complex samples

Assumptions:

- no coherency between sensors
- velocities are nearly constant during the measurement time



**Generic Measurement Geometry** 



## Signal Model

Position of *n*-th scatterer: 
$$\vec{p}_n(t) = \vec{p}_{0,n} + \vec{v}_n t$$

Distance between *n*-th scatterer and *m*-th sensor:  $R_{m,n}(t) = |\vec{p}_{0,n} - \vec{p}_m + \vec{v}_n t|$ 

inear approximation: 
$$R_{m,n}(t) \approx |\vec{p}_{r,m,n}| + \frac{\langle \vec{p}_{r,m,n}, \vec{v}_n \rangle}{|\vec{p}_{r,m,n}|} t = R_{0,m,n} + v_{r,m,n} t$$

- Measured signal:  $D_{m,n}(f,t) \approx A_n \exp\left(-j2\pi f T_{0,m,n}\right) \exp\left(-j2\pi f_{d,m,n}t\right)$
- with propagation time:  $T_{0,m,n} = 2R_{0,m,n}/c_0$
- and Doppler frequency:  $f_{d,m,n} \approx 2f_m v_{r,m,n}/c_0$
- Signal of the *m*-th sensor:  $D_m(f,t) = \sum_{n=1}^{N_p} D_{m,n}(f,t)$
- → Scatterers can be separated depending on their distances and radial velocities relative to the sensor!



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### **Range-Velocity-Maps**

- Calculation using 2-dimensional FFT
- RV-maps describe a moving scene from the perspective of a particular sensor
- Scatterers are separated depending on their ranges and radial velocities
- Data contains no directional information
- Side-lobes can be reduced by applying window functions (e.g. Hann window) to measurement data
- Number of non-zero elements is significantly reduced → "Sparsity"
- RV-maps are the basis for further (CS-based) image reconstruction steps





#### **Problem Formulation**

- Measurement data of all sensors can be written as a single Vector D:
- 2D Fourier transform as well as filtering with Window functions can be expressed as multiplication with matrix Ψ:
- Inequality of Cauchy-Schwarz:
- Linear optimization problem:
- Introducing of reconstruction error E:
- Nonlinear optimization problem:

$$D = \begin{pmatrix} D_1 \\ D_2 \\ \vdots \\ D_{N_s} \end{pmatrix} = \begin{bmatrix} \Phi_1 \\ \Phi_2 \\ \vdots \\ \Phi_{N_s} \end{bmatrix} \cdot \begin{pmatrix} s_1 \\ s_2 \\ \vdots \\ s_N \end{pmatrix} = \Phi \cdot S$$

 $\Psi D = (\Psi \Phi)S$ 

$$|\Psi D| \le |\Psi \Phi| \cdot |S| \longrightarrow D_a \le \Phi_a S_a$$
$$S_{L1} = \min_{S_a} \{ ||S_a||_1 : \Phi_a S_a \ge D_a, S_a \ge 0 \}$$

$$D_{a} = \Phi_{a}S_{a} + E$$
  

$$S_{L1} = \min_{S_{a}} \left\{ \|S_{a}\|_{1} : \|E\|_{2}^{2} \le \varepsilon^{2}, \ S_{a} \ge 0 \right\}$$



## **Simulation Parameters**

- Simulation of 100 randomly generated scenes with 1 30 moving point scatterers and CS reconstruction based on data of 3 12 sensors
- Statistical analysis of simulation results
- Additional: Simulation and reconstruction of a test pattern
- Evaluation of 3 algorithms: OMP, SIMPLEX, nonlinear convex optimization

S1	Parameter	Value	Description
	$f_c$	94 GHz	Signal center frequency
S7	B	5 GHz	Bandwidth
	dT	1 ms	Time between two pulses
	${N}_{f}$	48	Number of frequency steps
S6	$N_t$	30	Number of pulses
	$N_s$	3, 5, 7, 9, 12	Number of sensors
	$N_p$	1-30	Number of point targets
	$N_r$	900	Spatial discretization $(30 \times 30)$
	$N_v$	577	Velocity discretization
30 34			



# **Orthogonal Matching Pursuit**

- Iterative approach, belongs to the "greedy" algorithms
- Selection of one base function (column of sensing matrix) during each iteration step and termination if no improvement is possible anymore
- Suboptimal method, but easy implementation
- Adoption to deal with positive-valued measurement data and positive-valued sensing matrix:

  - original:  $\max_{i} |\langle r, b_i \rangle|$  mit  $i \in [1, N]$ changed:  $\max_{i} \langle r, b_i \rangle$  mit  $i \in [1, N]$





## **OMP: Random Distributions**

- Reconstructed scene is correlated with the original distribution of moving scatterers
- Reconstruction quality is increasing with number of sensors (N<sub>s</sub>) and decreasing with scene complexity (number of point targets N<sub>p</sub>)
- Reliable reconstruction seems to be possible with 5 or more sensors
- Statistical evaluation shows strong variation of reconstruction quality
- Nearly no difference between results obtained with 9 or 12 Page 10 of 21 Sensors





#### **OMP: Test Pattern**

- Generic test scene with 10 moving targets
- Arrow  $\rightarrow$  Velocity
- Color  $\rightarrow$  Amplitude
- Quality is increasing with number of sensors  $(N_s)$





60

40

20

C

-20

-40

-60 -60

-40

-20

y-direction [cm]

## **SIMPLEX Algorithm**







2<sup>nd</sup> constraint

- Scene consists of *N* pixels  $\rightarrow$  N-dimensional solution space
- Each inequality of the 1<sup>st</sup> constraint divides solution space into two halfspaces
- Together the inequalities define a polytope in the solution space
- <u>Goal:</u> find a corner of the polytope with the maximum cost function
- the SIMPLEX algorithm moves along the edges until an improvement of the solution is not possible





### **SIMPLEX: Random Distributions**

- Similar behavior compared to Matching Pursuit
- Reconstruction quality is significantly better for complex scenes





#### **SIMPLEX: Test Pattern**

- Generic test scene with 10 moving targets
- Arrow  $\rightarrow$  Velocity
- Color  $\rightarrow$  Amplitude
- Quality is increasing with number of sensors  $(N_s)$



SIMPLEX-Reconstruction with 3 Sensors



SIMPLEX-Reconstruction with 5 Sensors

60

40

20

0

-20

-40

-60

-60

y-direction [cm]

## **SIMPLEX: Complexity**

- The complexity and computing time of the SIMPLEX algorithm show exponential dependency on the number of constraints M (in worst-case), simulation shows following behavior:
  - > Number of iteration steps  $\sim N_p^2 N_s$
  - > Average computing time  $\sim N_p^3 N_s^2$





# **Nonlinear Convex Optimization**

- Simulation of noisy measurement data
- Starting point:  $D_a \leq \Phi_a S_a$
- In case of noisy measurement data, a reconstruction error  $\varepsilon$  can be allowed:  $D_a = \Phi_a S_a + E$
- $\rightarrow$  nonlinear optimization problem:

$$S_{L1} = \min_{S_a} \left\{ \|S_a\|_1 : \|\Phi_a S_a - D_a\|_2^2 \le \varepsilon^2, \ S_a \ge 0 \right\}$$

- Reconstruction using linear methods (e.g. SIMPLEX) not possible
- Express the optimization problem as differentiable steady function  $u(S_a)$
- Optimize this function using e.g. the Gradient algorithm
- Possible approach: Log-Barrier algorithm
- Initial solution can be found e.g. using Matching pursuit



 $\widehat{L}(u) = -\frac{1}{\tau}\log(-u)$ 

#### Log-Barrier Algorithm

ach optimization problem of the typ  $x_{opt} = \min_{x} \{u_0(x) : u_i(x) \le 0, i \in [1, M]\}$ can also be expressed as

$$x_{opt} = \min_{x} \left\{ u_0(x) + \sum_{i=1}^{M} L(u_i(x)) \right\} \quad \text{with} \quad L(u) = \begin{cases} 0 & u \le 0\\ \infty & u > 0 \end{cases}$$

- Logarithmic approximation of *L*:
- Steady target function:  $u(x) = u_0(x) + \sum_{i=1}^M \widehat{L}(u_i(x)) = u_0(x) \frac{1}{\tau} \sum_{i=1}^M \log(-u_i(x))$
- Principle applied to present optimization problem:

$$u(S_a) = \sum_{i=1}^{N} S_{a,i} - \frac{1}{\tau} \left( \log \left( \varepsilon^2 - \|\Phi_a S_a - D_a\|_2^2 \right) + \sum_{i=1}^{N} \log(S_{a,i}) \right)$$



## **Nonlinear Convex Optimization**





# **Nonlinear Convex Optimization**

- Dynamics: 37dB 63dB
- $\rightarrow$  very effective noise suppression
- With decreasing SNR a "noise floor" appears around the true targets
- → image dynamics is decreasing
- possible explanation: the algorithm allows reconstruction errors, which are not restricted to "true" targets





#### Summary

- motion parameters of complex moving scenes can be estimated using a network of incoherently operating radar sensors as well as CS-based signal processing techniques
- Assumption: the scene contains only a limited number of significant elements
- A relatively small number of radar sensors is required (5 10)
- Coherency between sensors is not necessary
- Depending on the scenario, different reconstruction algorithms can be applied
- For high SNR linear optimization (SIMPLEX) or greedy methods may be used
- In case of low SNR nonlinear optimization methods show better performance
- Reconstruction is computationally heavy in terms of required memory Page and computing time, so real-time application is not possible fraunhofer

# Thank You!



